## Concurrency Theory Sheet 5

### Philipp Habelitz Patrick Hansert Tobias Zimmermann

# Coin game (How large can a finite Petri net get?)

We define a strategy for N buckets. Let f(N) be the number of coins we get with this strategy.

We keep the coin in bucket 1 that is initially containted in it and apply our strategy to the remaining N-1 buckets. This results in the game state (1, 0, ..., f(N-1)). Now we spend the coin in bucket 1 to apply action 2: swap(1, 2, N), resulting in state (0, f(N-1), 0, ..., 0).

For this we define another strategy, which takes a game in state (n, 0, ..., 0) with m buckets. Let g(m, n) be the number of coins resulting from this strategy. Therefore f(n) = g(n-1, f(n-1)). For this second strategy, in the first step our only option is to take one coin from the first bucket and put 2 in the next, resulting in state (n-1, 2, 0, ..., 0). Now we apply the strategy for m-1 buckets, which leads to state (n-1, 0, ..., g(m-1, 2)) and use swap(1, 2, m), which gives us state (n-2, g(m-1, 2), 0, ...). At this point we can apply the strategy recursively n times, so g(m, n) = g(m-1, g(m, n-1)).

As a basis for the two recursions, we know that f(1) = 1, f(2) = 3, f(3) = 7, which can easily be shown by looking at all possible actions, g(m, 1) = g(m - 1, 2), because with one coin we cannot do the swap action for the next recursion step, so we only have two coins we get by using action 1, and g(2, n) = 2n, since the only option with two buckets is to use action 1.

Theorem 1.  $g(m,n) \ge 2 \uparrow^{m-2} n$ 

Proof by induction.

• m = 3:

Proof by induction.  

$$n = 1$$
:  
 $g(3, 1) = g(2, 2) = 2 \cdot 2 = 4 \ge 2 \uparrow^{1} 2$ .  
 $n \to n + 1$ :  
 $g(3, n + 1) = g(2, g(3, n)) \stackrel{IH_{2}}{\ge} g(2, 2 \uparrow^{1} n) = 2 \uparrow^{1} (n + 1)$ .  
•  $m \to m + 1$ :  
Proof by induction.  
 $n = 1$ :  
 $g(m + 1, 1) = g(m, 2) \stackrel{IH_{1}}{\ge} 2 \uparrow^{m-2} 2 = 2 \uparrow^{m-1} 1$ .  
 $n \to n + 1$ :  
 $g(m + 1, n + 1) = g(m, g(m + 1, n)) \stackrel{IH_{2}}{\ge} g(m, 2 \uparrow^{m-1} n) \stackrel{IH_{1}}{\ge} 2 \uparrow^{m-2} (2 \uparrow^{m-1} n) = 2 \uparrow^{m-1} (n + 1)$ 

#### Theorem 2.

For any sequence of actions that uses inc(1) while  $(0, 2^{n-2}, 2^{n-3}, ..., 2^{n-n})S \ge 4$ , where S is the current state, there is another strategy that produces at least as many coins and does not use inc(1) in such a situation, for  $n \ge 3$  buckets.

#### Proof.

Suppose inc(1) is used in such a situation on state S. Choose i > 1 minimal with  $S_i > 0$ . Then we can replace inc(1) by the following sequence of operations:

- propagate all coins from bucket 1 to bucket n
- swap(1,2,n)
- leave  $S_2 + 2$  coins in bucket 2 and propagate the rest to bucket i
- leave  $S_i$  coins in bucket i and propagate the rest to bucket n

We show that the state obtained by applying this sequence of operations covers this state obtained by applying inc(1). Therefore the remainder of the original sequence of operations remains valid.

Bucket 1 has 1 coin less after both sequences of operations, bucket 2 has 2 additional coins and therefore the same number of coins in both cases, bucket n has at least as many as in the other case and all other buckets have exactly the same number of coins.

• Case i = n:

In this case we know that  $S_n\geq 4.$  Then we have  $S_2'=S_n,\,S_n'=2^{n-2}(S_n-2)\geq 2(S_n-2)\geq S_n$ 

• Case i = n - 1:

After the first propagation  $S'_2 = S'_i = 0$ ,  $S'_n = S_n + 2^{n-i}S_i$ . After swapping and the second propagation,  $S''_2 = 2$ ,  $S''_i = 2^{i-2}(S_n + 2^{n-i}S_i - 2) = 2^{n-3}(S_n + 2S_i - 2) = 2^{n-3}S_n + 2^{n-2}(S_i - 1)$ .

- $S_i>1:$ Then  $2^{n-2}(S_i-1)\geq S_i$  and we propagate at least  $2^{n-3}S_n$  coins to bucket n, which will contain at least  $4S_n\geq S_n$  coins.
- $-S_i = 1:$

Because this state was generated by a sequence of actions starting from  $(1,\ldots,1)$ , we have  $S_n\geq 2$ . We then propagate all but one token from  $S_i$  to  $S_n$ , where we will have  $2(2^{n-3}S_n-1)=2^{n-2}S_n-2\geq S_n$  coins.

• Case i < n-1:

After the first propagation  $S'_2 = S'_i = 0$ ,  $S'_n = S_n + 2^{n-i}S_i$ . After swapping and the second propagation,  $S''_2 = 2$ ,  $S''_i = 2^{i-2}(S_n + 2^{n-i}S_i - 2) \ge 2^{i-2}S_n + 2^{i-2}\underbrace{(4S_i - 2)}_{\ge S_i}$ . Therefore we propagate at least  $2^{i-1}S_n$ 

coins to bucket n and get at least  $2^{n-2}S_n \geq S_n$  coins.

**Theorem 3.** If swap(i,j,k) is used in an optimal sequence of operations on  $n \ge 3$  buckets then

- (1)  $\forall j \leq x < k : S_x = 0$
- (2) j = i + 1
- (3) k = n

Proof.

(1) If  $\exists j \leq x < k : S_x > 0$  we can propagate the  $S_x$  coins to bucket n, so  $S'_n = S_n + 2^{n-x}S_x$ , then do the swap and propagate the  $2^{n-x}S_x$  additional coins to bucket x, so  $S'_x = S_x + 2^{n-x+x-j}S_x \geq S_x$ . Therefore an optimal sequence cannot have such an  $S_x$ .

- (2) If j > i+1 we can instead do swap(i, i+1, k) and propagate the coins  $S_k$  in bucket *i* to bucket *j*, so  $S'_j = 2^{j-i-1}S_k \ge S_k$ . In case  $S_{i+1} > 0$  we can apply the same strategy as in the previous case. This means j = i+1 for all swap operations in an optimal sequence.
- (3) If k < n we can instead do propagate the coins in bucket k to bucket n, so  $S'_n = S_n + 2^{n-k}S_k$  and do swap(i, j, n) and then propagate the additional  $S_n + (2^{n-k} 1)S_k$  coins to bucket n, which yields  $S''_n = 2^{n-j}(S_n + (2^{n-k} 1)S_k) \ge S_n$ . Therefore k = n for all swap operations in optimal sequences.

**Theorem 4.** g(m,n) is optimal.

Proof.

- m = 2: The only action that can be applied is increment, therefore the statement trivially holds.
- m > 2: This result follows immediately from Theorem 2 and Theorem 3.

#### **Theorem 5.** f(n) is optimal.

*Proof.* The base cases f(1), f(2), f(3) can be verified by brute force.

With Theorem 2 and Theorem 3 we know that as soon as we take the coin from bucket 1 in an optimal sequence of operations, we are in a state that has the form (1, 0, ..., 0, X). Clearly X = f(n-1). With Theorem 2 we know that the next action will be swap(1, 2, n). Since, by Thorem 4 g is optimal, g(n-1, f(n-1)) is the optimal value for f(n).

**Theorem 6.** f and g are not primitive recursive.

#### Proof.

By Theorem 4 g has a lower bound given by  $g(m,n) \ge 2 \uparrow^{m-2} n$ , which we know not to be primitive recursive. Clearly, f cannot be primitive recursive, either.

**Theorem 7.** All of humanity does not have enough SMU to play the coin game optimally with 5 buckets.

#### Proof.

Suppose there are  $10^9$  humans. We know that the richest human has  $< 2^{40}$  SMU. Therefore all of humanity has  $< 10^9 \cdot 2^{40}$  SMU. Clearly  $f(5) \ge 2 \uparrow^2 256 \gg 10^9 2^{40}$ .

By Theorem 7 and the fact that  $f(4)=256<2^{40},\,5$  is the minimal Nwith which we can become the richest humans by playing the coin game. By Theorem 5, f(N) is the maximum number of coins that can be gained by playing the coin game with N buckets.